



**Recycling Program
Enforcement Branch –
Quarterly Update**

April 21, 2015



AGENDA

- Objectives
- Probationary Reviews
- Inspections
- Investigations
- Accusations
- DOJ Investigations



OBJECTIVES

- To provide a level of transparency for compliance assurance & enforcement activities
- To provide performance metrics associated with major functional areas
- To highlight successful compliance assurance and/or enforcement activities by CalRecycle and our interagency partners



PROBATIONARY REVIEWS

| STATISTICS | CY 2013 | CY 2014 | % Change |
|------------------------------------|---------|---------|----------|
| DORiis Auto-Generated Cases | 646 | 1,092 | 69% |
| Recycling Centers Visited | 221 | 227 | 3% |
| Passed | 80 | 67 | (16%) |
| Extended | 133 | 151 | 14% |
| Recommendation for Revocation | 4 | 3 | (25%) |
| Decertified during/result of visit | 4 | 6 | 50% |



PROBATIONARY REVIEWS

| STATISTICS | CY 2013 | CY 2014 | % Change |
|-------------------------------|---------|---------|----------|
| Recycling Centers Not Visited | 425 | 865 | 104% |
| Passed | 24 | 8 | (67%) |
| Extended | 299 | 430 | 44% |
| Recommendation for Revocation | 0 | 2 | 100% |
| Not RCs | 71 | 63 | (11%) |
| Decertified / Abandoned | 31 | 9 | (71%) |
| Ongoing Investigations | 0 | 30 | 100% |



PROBATIONARY REVIEWS

| STATISTICS | CY 2013 | CY 2014 | % Change |
|-------------------|-----------|-----------|----------|
| Total Assessments | \$448,090 | \$883,180 | 97% |
| Restitution | \$414,140 | \$840,530 | 103% |
| Civil Penalties | \$33,950 | \$42,650 | 26% |



INSPECTIONS

| STATISTICS | CY 2013 | CY 2014 | % Change |
|-----------------------------------------|--------------------|--------------------|---------------------|
| Recycling Center Inspections | 3,462 | 4,691 | 35% |
| Notices of Non-Compliance Issued | 1,050 | 1,769 | 68% |
| Notices of Violation Issued | 303 | 622 | 105% |
| Civil Penalty Assessments | \$72,100 | \$109,100 | 51% |



INSPECTIONS

| STATISTICS | CY 2013 | CY 2014 | % Change |
|----------------------------------|---------|---------|----------|
| Dealer Inspections | 154 | 255 | 66% |
| Notices of Non-Compliance Issued | 56 | 82 | 46% |
| Notices of Violation Issued | 11 | 31 | 182% |
| Civil Penalty Assessments | \$1,100 | \$4,800 | 336% |
| Complaints Resolved | 332 | 353 | 6% |



INVESTIGATIONS

| STATISTICS | CY 2013 | CY 2014 | % Change |
|------------------------------------|---------|---------|----------|
| Investigation Cases Initiated | 117 | 509 | 335% |
| Investigation Cases Closed | 73 | 487 | 567% |
| Application Denial Recommendations | 19 | 16 | (16%) |
| Revocation Recommendations | 54 | 14 | (74%) |
| DOJ Referrals | 14 | 41 | 193% |
| Forensic Document Reviews | 68 | 92 | 35% |



DOJ INVESTIGATIONS

| January – December 2013 | Investigations Initiated | Investigations Closed | Arrests |
|-------------------------|--------------------------|-----------------------|-----------|
| Northern Recycling Team | 3 | 4 | 10 |
| Southern Recycling Team | 11 | 8 | 9 |
| Total | 14 | 12 | 19 |



DOJ INVESTIGATIONS

| January – December 2014 | Investigations Initiated | Investigations Closed | Arrests |
|-------------------------|--------------------------|-----------------------|-----------|
| Northern Recycling Team | 1 | 5 | 16 |
| Southern Recycling Team | 7 | 6 | 7 |
| Total | 8 | 11 | 23 |



REGULATORY UPDATES

➤ Segregated Only Requirements

- DOR in process of compiling regulatory packages for internal CalRecycle / CalEPA review



ACCUSATIONS

Unresolved accusations as of March 31, 2015, filed before CY 2013:

| Participant Name | Date Filed | Amount Assessed |
|--------------------------|---------------|----------------------|
| Piccadilly Imports, Inc. | 12/22/08 | \$ 10,990.87 |
| Villanueva Recycling | 04/30/10 | \$ 149,434.94 |
| | TOTAL: | \$ 160,425.81 |



ACCUSATIONS

Unresolved accusations as of March 31, 2015 ~ filed after CY 2013:

| Participant Name | Date Filed | Amount Assessed | Amended Filed | Amended Assessment |
|------------------------------|----------------|------------------------|---------------|------------------------|
| Daws Recycling Center | 01/10/13 | \$ 82,487.73 | | |
| J & S Recycling | 02/07/13 | \$ 206,258.61 | | |
| USA Recycling | 04/04/13 | \$ 790,775.66 | 04/24/13 | \$ 790,786.56 |
| Capital City Recycling | 01/31/14 | \$ 311,446.99 | | |
| Eagle Recycling | 07/29/14 | \$ 2,749,279.41 | | |
| Hip Hop Beverage Corporation | 11/13/14 | \$255,000.00 | | |
| | TOTALS: | \$ 4,395,248.40 | | \$ 4,395,248.30 |

* If no amendment, amount assessed on original filing is included in the total.



ACCUSATIONS

Accusations filed before CY 2014 and resolved during January – March 2015:

| Participant Name | Date Filed | Amount Assessed | Date Finalized | Resolution |
|-------------------|---------------------|-----------------------|----------------|-----------------------|
| Alco Iron & Metal | 07/19 & 09/19/13 | \$7,422,339.97 | 03/11/15 | \$1,744,563.79 |
| | TOTAL: | \$7,422,339.97 | TOTAL: | \$1,744,563.79 |

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ACCUSATIONS

Accusations filed January 2015 – March 2015:

No accusations were filed during this period.



QUESTIONS ?